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## APPLICATION TRANSMITTAL UTILITY PATEN

Attorney Docket No. 15437-0547

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

## TO THE COMMISSIONER FOR PATENTS:

<b>Fransmitted</b>	herewith is the	e patent application	of ( ) application	identifier or (X	) first named i	inventor, Ronald A	A. Ten-Hove, et
<u>ll.</u> , entitled	MECHANIS	M FOR FACILITAT	ING BACKTRAC	KING , f	or a(n):		

(X)	Original	Patent	App	lication.
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( ) Continui	ing Application (prior application not abandoned):					
( )	Continuation ( ) Divisional ( ) Continuation-in-part (CIP)					
	of prior application No: Filed on:					
( )	A statement claiming priority under 35 USC § 120 has been added to the specification.					
Enclosed are:						
(X)	Specification 54 Total Pages; (X) Drawing(s) 5 Total Sheets; (X) Cover Sheet 1 Page					
(X)	Oath or Declaration: 4 Pages					
	(X) A Newly Executed Combined Declaration and Power of Attorney:					
	(X) Signed. ( ) Unsigned. ( ) Partially Signed.					
	( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).					
	( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the					
	oath or declaration is supplied, is considered as being part of the disclosure of the accompanying					
	application and is hereby incorporated herein by reference in its entirety for all purposes.					
	( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).					
( )	Power of Attorney. (X) Return Receipt Postcard.					
( )	Associate Power of Attorney. (X) A Check in the amount of \$1,752.00 for the Filing Fee.					
( )	Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.					
( )	Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)					
( )	A Duplicate Copy of this Form for Processing Fee Against Deposit Account.					
( )	A Certified Copy of Priority Documents (if foreign priority is claimed).					
( )	Applicant(s) is entitled to small entity status. See 37 CFR 1.27.					
( )	Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.					
(X)	Recordation of Assignment Cover Sheet (1 pg) and executed Assignment (3 pgs).					
$\mathcal{V}(\cdot)$	Other:					

CLAIMS AS FILED							
FOR	NO. FILED	NO. EXTRA	RATE	FEE			
Total Claims	60	40	\$18.00	\$ 720.00			
Independent Claims	\$84.00	\$ 252.00					
Multiple Dependent Cla	\$0.00						
Assignment Recording	\$40.00						
Basic Filing Fee	\$740.00						
			Total Filing Fee	\$ 1,752.00			

to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

Bobby K. Truong, Reg. No. 37,499

Date: October 18, 2001

Correspondence Address:



PATENT TRADEMARK OFFICE

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

> Commissioner for Patents **Box Patent Application** Washington, D.C. 20231

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Date of Deposit: October 18, 2001

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT

(\$) 1,752.00

-	Complete if Known	8, 2001
Application Number	NYA	
Filing Date	October 18, 2001	
First Named Inventor	Ronald A. Ten-Hove, et al.	
Examiner Name	NYA	
Group/Art Unit	NYA	
Attorney Docket No.	15437-0547	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.	Large E		ONAL Small E Fee Code —	Fee	Fee Description	Fee Paid
Deposit Account Number	105	130	205	65	Surcharge – late filing fee or oath	
Deposit Account Name Hickman Palermo Truong & Becker, LLP	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
2. X Payment Enclosed:	139	130	139	130	Non-English specification	
Check Money Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
3. Applicant(s) is entitled to small entity status.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
See 37 CFR 1.27						
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
Large Entity Small Entity	117	920	217	460	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$)	118	1,440	218	720	Extension for reply within fourth month	
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee 740.00	128	1.960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing	
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
	140	110	240	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 740.00	141	1,280	241	640	Petition to revive – unintentional	
2. EXTRA CLAIM FEES Fee from	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Claims Below Fee Paid	143	460	243	230	Design issue fee	
Total Claims 60 -20**= 40 x 18.00 = 720.00	144	620	244	310	Plant issue fee	
Independent 6 - 3**= 3 X 84.00 = 252.00	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent	123	50	123	50	Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claim, if not paid	O11-1-1		16 A			
109 84 209 42 **Reissue independent claims over original patent		ee (spec	пу)			
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fo	ee (spec	ify) _			
SUBTOTAL (2) (\$) 972.00	*Reduc	ed by Ba	asic Filin	g Fee P	aid SUBTOTAL (3) (\$) 40.0	0

Signature WARNING:

Name (Print/Type)

Bobby K, Truong

Information on this form may become pyblic. Credit card information should not be

Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

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October 18, 2001